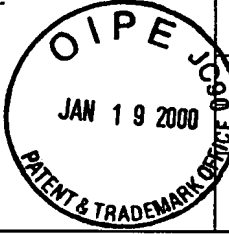


Part of Paper No. 2

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| FORM PTO-1449 (REV. 2-32) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTORNEY DOCKET NO.: 021932 | | SERIAL NO.: 09/453,364 09/453,364 | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | IN RE APPLICATION OF: Robert C. Slane | | | |
|  | | | | FILING DATE: December 1, 1999 | | GROUP ART UNIT: 2768 3627 | |
| | | | | FOR: Establishing and Managing Grantor Retained Annuity Trusts Funded by Nonqualified Stock Options | | | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB CLASS | TRANSLATION YES NO |
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| EXAMINER AD Tischer 8/25/02 Considered all. | | | | | | | |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant. | | | | | | | |